

UNITED STATES HOUSE OF REPRESENTATIVES FINANCIAL DISCLOSURE STATEMENT

For New Members, Candidates, and New Employees

FORM B

MAY 14 2018

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LEGISLATIVE RESOURCE CENTER

18 MAY 18 AM 10:37

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Name: Joshua A. Mandel Daytime Telephone: _____

FILER STATUS	<input checked="" type="checkbox"/> New Member of or Candidate for U.S. House of Representatives	State: Ohio District: 11	<input type="checkbox"/> Check if Amendment
	Candidates - Date of Election: March 2020 Primary		
FILER STATUS	<input type="checkbox"/> New Officer or Employee	Staff Filer Type (If Applicable):	Period Covered: January 1, 2017 to April 30, 2018
	Employing Office: _____	Shared <input type="checkbox"/> Principal Assistant <input type="checkbox"/>	

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <u>or</u> b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	J. Did you receive compensation of more than \$5,000 from a single source in the current year and two prior years?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>

ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"

THIS FORM INCLUDES ONLY THE SCHEDULES THAT YOU ARE REQUIRED TO COMPLETE

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER BOTH OF THESE QUESTIONS

TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?

Yes ☐ No ☒

EXEMPTION - Have you excluded from this report any other assets, "unearned" income, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.

Yes ☐ No ☒

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Use additional sheets if more space is required.

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SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

Joshua A. Mandel

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income								BLOCK D Amount of Income																								
SP, DC, JT	ASSET NAME	EIF	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	Current Year												Preceding Year											
			None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII
JT	XPO Logistics Inc. - Call option at \$60 exp. 05/18/18 (XPO 5/18/18 C60)					X										X								X											X												
JT	United Continental Holdings Inc. - Call option at \$70 exp. 06/15/18 (UAL 6/15/18 C70)						X									X								X											X												
JT	SPDR S&P Biotech - Call option at \$87 exp. 06/15/18 (XBI 6/15/18 C87)						X									X								X											X												
JT	Goldman Sachs Group Inc. - Call option at \$250 exp. 07/20/18 (GS 7/20/18 C250)						X									X								X											X												
JT	Discover Financial Services - Call option at \$82.50 exp. 07/20/18 (DFS 7/20/18 C82.5)							X								X								X											X												
JT	Facebook Inc. Class A - Call option at \$195 exp. 09/21/18 (FB 9/21/18 C195)															X								X											X												
JT	Facebook Inc. Class A - Call option at \$195 exp. 01/18/19 (FB 1/18/19 C195)															X								X											X												
JT	Banc of California Inc. Preferred Series E (BANC-E)																X																														
JT	Customers Bancorp Inc. Preferred Series C (CUBI-C)																X																														

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SCHEDULE A - ASSETS & "UNEARNED INCOME"

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BLOCK A		BLOCK B													BLOCK C								BLOCK D																								
Assets and/or Income Sources		Value of Asset													Type of Income								Amount of Income																								
SP, DC, JT	ASSET NAME	EIF	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	Current Year												Preceding Year											
			None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII
JT	Permanent Floating Rate Capital Ltd. (PFLT)	X			X											X									X																						
JT	First Trust - 6680 Stifel Best Picks, May 2017 (CUSIP 30302R755)	X				X										X																															
JT	Stifel - FDIC-insured Bank Program Money Market Deposit Account																		X						X																						
JT	Aqua America Inc. (WTR)																X								X																						
JT	Automatic Data Processing Inc. (ADP)																X								X																						
JT	Baxter International Inc. (BAX)																X								X																						
JT	Becton Dickinson and Co. (BDX)																X								X																						
JT	Church & Dwight Company, Inc. (CHD)																X								X																						
JT	Coca-Cola Company (KO)																X								X																						
JT	Costco Wholesale Corporation (COST)																X								X																						
JT	Emerson Electric Co. (EMR)																X								X																						
JT	General Mills, Inc. (GIS)																X								X																						
JT	Genuine Parts Company (GPC)																X								X																						
JT	Johnson & Johnson (JNJ)																X								X																						
JT	McDonald's Corp. (MCD)																X								X																						
JT	Merck & Company Inc. (MRK)																X								X																						

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SCHEDULE A - ASSETS & "UNEARNED INCOME"

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BLOCK A		BLOCK B													BLOCK C								BLOCK D																												
Assets and/or Income Sources		Value of Asset													Type of Income								Amount of Income																												
SF, DC, JT	ASSET NAME	EIF	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	Current Year												Preceding Year															
			None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII				
JT	MasTec Inc. - Call option at \$46 exp. 10/20/17 (MTZ 10/20/17 C46)																																																		
JT	Medical Properties Trust (MPW)																																																		
JT	MGM Resorts International (MGM)																																																		
JT	MGM Resorts International - Call option at \$29 exp. 03/17/17 (MGM 3/17/17 C29)																																																		
JT	MGM Resorts International - Call option at \$29 exp. 06/16/17 (MGM 6/16/17 C29)																																																		
JT	MGM Resorts International - Call option at \$31 exp. 09/15/17 (MGM 9/15/17 C31)																																																		
JT	MGM Resorts International - Call option at \$34 exp. 01/19/18 (MGM 1/19/18 C34)																																																		
JT	Micron Technology Inc. (MU)																																																		
JT	Micron Technology Inc. - Call option at \$27 exp. 07/21/17 (MU 7/21/17 C27)																																																		
JT	Microsoft Corp. - Call option at \$65 exp. 03/17/17 (MSFT 3/17/17 C65)																																																		

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SCHEDULE A - ASSETS & "UNEARNED INCOME"

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SP, DC, JT	ASSET NAME	EIF	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	Current Year												Preceding Year															
			None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII				
SP	➤ Rzepak LLC				X																		Partnership Income	X																											
SP	➤ commercial real estate: Broadway Shoppes 6549 Broadway Cleveland, OH																						Partnership Income																												
SP	➤ Sandusky Properties Company, Ltd. LLC					X												X					Partnership Income																												
SP	➤ commercial real estate: Sugar Creek Plaza 1253 E. Caro Rd. Caro, MI																						Partnership Income																												
SP	➤ Summit II, LLC						X											X					Partnership Income																												
SP	➤ commercial real estate: The Orchard Plaza 5823 Darrow Rd. Hudson, OH																																																		
SP	➤ Taylor Commons Associates Limited LLC						X											X					Partnership Income																												
SP	➤ commercial real estate: Taylor Commons 1901-1929 S. Taylor Road Cleveland Hts., OH																																																		

SCHEDULE A - ASSETS & "UNEARNED INCOME"

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Assets and/or Income Sources		Value of Asset													Type of Income								Amount of Income																													
SP, DC, JT	ASSET NAME	EIF	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	Current Year												Preceding Year																
			None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII					
SP	Taylor Commons Partners, Ltd. LLC				X											X																																				
SP	commercial real estate: 2107 S. Taylor Road Cleveland Hts., OH																																																			
SP	Trumbull Properties Company LP		X													X																																				
SP	commercial real estate: site of former Austin Village Plaza 2894 West Market St. Warren, OH																																																			
SP	Tuscola Properties Company Limited LLC					X											X																																			
SP	commercial real estate: Caro Centre 1530-1560 W. Caro Rd. Caro, MI																																																			
SP	1900 East 30th Street LLC				X											X																																				
SP	residential real estate: University Commons Apts. 1900 E. 30th St. Cleveland, OH																																																			

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EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

INCOME LIMITS and PROHIBITED INCOME: Be advised that the income limit and prohibited income may apply to you after you are on House payroll. The 2017 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$27,765. The 2018 limit is \$28,050. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited for Members and senior staff.

[illegible]

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Amount of Liability

SCHEDULE E - POSITIONS

Name of Organization

Partner	Statehouse Properties LLC
Treasurer of State	State of Ohio
	↘ As Ohio Treasurer of State, filer has staff designees that represent the Treasurer's office on multiple organizations throughout State government.

SCHEDULE F – AGREEMENTS

Name: Joshua A. Mandel

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Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement
	- NONE TO REPORT -	

SCHEDULE J – COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Report sources of compensation received by you or your business affiliation for services provided directly by you during the current year and two prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise if you directly provided the services generating a fee or payment of more than \$5,000. Exclude: Payments by the U.S. government and any information considered confidential as a result of a privileged relationship recognized by law. Do not repeat information listed on Schedule C.

Source (Name and City/State)		Brief Description of Duties
Example:	Doe Jones & Smith, Hometown, Homestate	Accounting Services
State of Ohio		State Treasurer service